

Cherwell District Council

Audit, Accounts and Risk Committee

14 March 2023

January 2023 - Risk Monitoring Report

Report of Assistant Director – Customer Focus

This report is public.

Purpose of report

This report summarises the Council's Risk monitoring position of January 2023

1.0 Recommendations

The meeting is recommended:

- 1.1 To note the monthly Risk Monitoring Report January 2023

2.0 Introduction

- 2.1 The Council carries out regular reviews to identify risks at the earliest opportunity, address, assess and mitigate them as soon as possible. The new format of this report will enable the Audit, Accounts and Risk Committee Meeting review the Leadership Risk Register in a more detailed manner.
- 2.2 The Leadership Risk Register is a living document and is reviewed to reflect the environment in which the organisation operates; it is also formally reviewed on a monthly basis. Our risk strategy is reviewed annually and reflects the strategic priorities of the council for the forthcoming year.

3.0 Report Details

Risk Update – January 2023.

- 3.1 The Council maintains a Leadership Risk Register. This document contains strategic risks that may impact on the performance of the Council as a whole, and in particular, on its ability to deliver its corporate priorities. The latest available version of the risk registers at the date this report is published is included in this report as Appendix 1.
- 3.2 The heat map below shows the overall position of all risks contained within the Leadership Risk Register for January 2023.

Risk Scorecard – Residual Risks						
		Probability				
		1 - Remote	2 - Unlikely	3 - Possible	4 - Probable	5 - Highly Probable
Impact	5 - Catastrophic			L08		
	4 - Major		L09	L03-L06-L07- L11-L14	L01	
	3 - Moderate		L04 - L05- L10	L02-L12	L13	
	2 - Minor		L02-			
	1 - Insignificant					

Figure 2: Risk scorecard showing the risk scores in the Leadership Risk Register for January 2023.

3.3 The Leadership Risk Score Table below shows the overall position of all risks contained within the Leadership Risk Register with the latest updates for January 2023.

Leadership Risk	Score	Direction of travel	Latest Update
L01 Financial Resilience	16 High Risk	↔	Risk reviewed - 31/01/2023 – No changes
L02 Statutory functions	9 Low Risk	↔	Risk reviewed - 08/02/2023 - No changes
L03 CDC Local Plan	12 Medium Risk	↔	Risk reviewed - 24/01/2023 – Comments updated
L04 Business Continuity	6 Low Risk	↓	Risk Reviewed 06/02/2023 – Residual risk score and comments updated.
L05 Emergency Planning (EP)	6 Low Risk	↓	Risk Reviewed 06/02/2023 – Residual risk score, mitigating actions and comments updated.
L06 Safeguarding the Vulnerable – Operational and partnership actions	12 Medium Risk	↔	Risk Reviewed 06/02/2023 – Mitigating actions and comments updated
L07 Health and safety	12 Medium Risk	↔	Risk reviewed 07/02/23 – New manager added
L08 Cyber Security	15 Medium Risk	↔	Risk reviewed 07/02/2023 - No changes
L09 Safeguarding the vulnerable - Internal procedures	8 Low Risk	↔	Risk Reviewed 03/02/2023 - Comments updated
L10 Sustainability of Council owned companies and delivery of planned financial and other objectives	6 Low Risk	↔	Risk reviewed 07/02/2023 – Potential impacts and comments updated
L11 Financial sustainability of third- party suppliers and contractors	12 Medium Risk	↔	Risk reviewed 03/01/23 – Comments updated
L12 Corporate Governance	9 Low Risk	↔	Risk reviewed 08/02/23 – Comments updated
L13 Oxfordshire Housing and Growth Deal - (contract with HMG)	12 Medium Risk	↔	Risk reviewed 03/02/2023 – Comments updated
L14 Workforce Strategy	12 Medium Risk	↔	Risk reviewed 03/02/2023 - No changes

- 3.4 There were two score changes in the leadership risk register during January. The residual score has been decreased from 12 (medium risk) to 6 (low risk) for both, L04 – Business continuity and L05 – Emergency planning (go to Appendix 1 for further details).
- 3.5 Please note that the extra tab in Appendix 1, dedicated to the Local Plan, has been deleted as its contents are reflected on risk L03 – CDC Local Plan.
- 3.6 The Leadership Risk Register is reviewed by the Corporate Leadership Team as part of the monthly reporting process; however, this is a live document and as such it is updated as and when required at any point during the month.

4.0 Conclusion

This report provides an update on progress made during January 2023, to deliver the Council's priorities through reporting on Leadership Risk Register and providing an update on its Position. The Council is committed to risk management and reviews progress against its corporate priorities on a monthly basis.

5.0 Consultation

This report sets out risk information for the tenth month of this financial year and as such no formal consultation on the content is required.

6.0 Alternative Options and Reasons for Rejection

The following alternative options have been identified and rejected for the reasons as set out below.

Option 1: This report illustrates the Council's strategic risk management. Regarding the monitoring aspects of the report, no further options have been considered. However, members may wish to request that officers provide additional information.

7.0 Implications

Financial and Resource Implications

- 7.1 There are no financial and resource implications arising directly from this report.

Comments checked by:

Michael Furness, Assistant Director of Finance/Section 151,
01295 221845 Michael.Furness@cherwell-dc.gov.uk

Legal Implications

- 7.2 There are no legal implications arising directly from this report.

Comments checked by:

Shiraz Sheikh, Assistant Director Law and Governance, 01295 221651

Shiraz.Sheikh@cherwell-dc.gov.uk,

Risk Implications

- 7.3 This report contains a full update with regards to the Council's risk position at the end of January 2023. There are no risk implications arising directly from this report.

Comments checked by:

Celia Prado-Teeling, Performance & Insight Team Leader, 01295 221556

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Equalities and Inclusion Implications

- 7.4 There are no equalities nor inclusion implications arising directly from this report.

Comments checked by:

Celia Prado-Teeling, Performance & Insight Team Leader. Tel: 01295 221556

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8.0 Decision Information

Key Decision

Financial Threshold Met: No

Community Impact Threshold Met: No

Wards Affected:

All

Links to Corporate Plan and Policy Framework

This report supports all Corporate Priorities

Lead Councillor

Councillor Richard Mould – Portfolio Holder for Corporate Services

Document Information

Appendix number and title

- Appendix 1 – Leadership Risk Register January 2023

Background papers

None

Report Author and contact details.

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