

## APPENDIX 1: 2021/22 CDC Internal Audit Plan

Audit	Status	Conclusion	No of Mgmt Actions Agreed	Reported implementation status as at 09/05/2022
Customers, OD & Resources – HR				
Well-being / Sickness Management	Final Report	Amber	5	5 not yet due
Customers, OD & Resources – HR / Finance				
Payroll	Final Report	Amber	10	2 implemented, 8 not yet due
Customers, OD & Resources – Finance				
Key Financial Systems	Draft Report	tbc	tbc	-
Treasury Management	Final Report	Green	5	5 not yet due
Customers, OD & Resources – Finance / IT				
Payment Card Industry Data Security Standard (PCI-DSS)	Final Report	Red	9	6 implemented, 3 not yet due
Customers, OD & Resources – IT				
Cyber Security – Follow up	Final Report	Amber	9	8 implemented, 1 not yet due
IT Remote Working	Final Report	Amber	11	7 implemented, 4 not yet due
CDAI – Information Governance				
GDPR	Final Report	Amber	9	2 implemented, 1 partially implemented, 3 not yet due, 3 overdue
Environment & Place				
Waste Collection	Final Report	Amber	14	14 not yet due
Various / Corporate / Cross Cutting				
Grants – Disabled Facilities Grant	Certified Oct 21	-	-	-
Grants – Protect and Vaccinate	Certified April 22	-	-	-