



**Committee: Accounts, Audit and Risk Committee**

**Date: Wednesday 28 September 2022**

**Time: 6.30 pm**

**Venue: Bodicote House, Bodicote, Banbury, Oxon OX15 4AA**

## **Membership**

**Councillor Donna Ford  
(Chairman)**

Councillor Patrick Clarke

Councillor Ian Middleton

Councillor Sean Woodcock

**Councillor Hugo Brown (Vice-Chairman)**

Councillor Andrew Crichton

Councillor Chris Pruden

Councillor Barry Wood

## **AGENDA**

**1. Apologies for Absence and Notification of Substitute Members**

**2. Declarations of Interest**

Members are asked to declare any interest and the nature of that interest which they may have in any of the items under consideration at this meeting.

**3. Petitions and Requests to Address the Meeting**

The Chairman to report on any requests to submit petitions or to address the meeting.

**4. Minutes (Pages 5 - 8)**

To confirm as a correct record the Minutes of the meeting of the Committee held on 27 July 2022.

**5. Chairman's Announcements**

To receive communications from the Chairman.

## **6. Urgent Business**

The Chairman to advise whether they have agreed to any item of urgent business being admitted to the agenda.

## **7. External Audit - Audit Planning Report 2021/22 (Pages 9 - 58)**

Report of the Assistant Director of Finance

### **Purpose of report**

To receive a report setting out the External Audit Planning Report for 2021/22.

### **Recommendations**

The meeting is recommended to:

- 1.1 Note the contents of the External Audit Planning Report for 2021/22 from our External Auditors, Ernst & Young (EY).

## **8. August 2022 Risk Monitoring Report (Pages 59 - 78)**

Report of Assistant Director – Customer Focus (Interim)

### **Purpose of report**

This report summarises the Council's Risk monitoring position of August 2022.

### **Recommendations**

The meeting is recommended:

- 1.1 To consider and comment on the Risk Monitoring.

## **9. Treasury Management Report - April to August 2022 (Pages 79 - 88)**

Report of the Director of Assistant Director of Finance

### **Purpose of report**

To receive information on treasury management performance and compliance with treasury management policy for 2022-23 as required by the Treasury Management Code of Practice.

### **Recommendations**

The meeting is recommended:

- 1.1 To note the contents of this Treasury Management Report.

**10. Internal Audit Progress Report 2022/23 (Pages 89 - 106)**

Report of the Director of Finance

**Purpose of report**

The report presents the Internal Audit Progress report for 2022/23

**Recommendations**

The meeting is recommended:

- 1.1 To note the progress with the 2022/23 Internal Audit Plan and the outcome of the completed audits.

**11. Local Government and Social Care Ombudsman Annual Report 2021/22 (Pages 107 - 118)**

Report of the Interim Monitoring Officer

**Purpose of report**

To provide Council with the Local Government and Social Care Ombudsman's annual report on Cherwell District Council for the financial year 2021/22.

**Recommendations**

The meeting is recommended:

- 1.1 To receive the report and comment on the Local Government and Social Care Ombudsman's Annual Review of Cherwell District Council for 2021/22.

**12. Work Programme (Pages 119 - 120)**

To consider and review the Work Programme.

**Councillors are requested to collect any post from their pigeon hole in the Members Room at the end of the meeting.**

**Information about this Meeting**

**Apologies for Absence**

Apologies for absence should be notified to [democracy@cherwell-dc.gov.uk](mailto:democracy@cherwell-dc.gov.uk) or 01295 221554 prior to the start of the meeting.

**Declarations of Interest**

Members are asked to declare interests at item 2 on the agenda or if arriving after the start of the meeting, at the start of the relevant agenda item.

## **Local Government and Finance Act 1992 – Budget Setting, Contracts & Supplementary Estimates**

Members are reminded that any member who is two months in arrears with Council Tax must declare the fact and may speak but not vote on any decision which involves budget setting, extending or agreeing contracts or incurring expenditure not provided for in the agreed budget for a given year and could affect calculations on the level of Council Tax.

### **Evacuation Procedure**

When the continuous alarm sounds you must evacuate the building by the nearest available fire exit. Members and visitors should proceed to the car park as directed by Democratic Services staff and await further instructions.

### **Access to Meetings**

If you have any special requirements (such as a large print version of these papers or special access facilities) please contact the officer named below, giving as much notice as possible before the meeting.

### **Mobile Phones**

Please ensure that any device is switched to silent operation or switched off.

### **Queries Regarding this Agenda**

Please contact Sharon Hickson, Democratic and Elections [democracy@cherwell-dc.gov.uk](mailto:democracy@cherwell-dc.gov.uk), 01295 221554

**Yvonne Rees**  
**Chief Executive**

Published on Tuesday 20 September 2022