



**Committee: Accounts, Audit and Risk Committee**

**Date: Wednesday 17 November 2021**

**Time: 6.30 pm**

**Venue: Bodicote House, Bodicote, Banbury, Oxon OX15 4AA**

## **Membership**

**Councillor Mike Kerford-  
Byrnes (Chairman)**

Councillor Conrad Copeland  
Councillor Nicholas Mawer  
Councillor Tom Wallis

**Councillor Hugo Brown (Vice-Chairman)**

Councillor Matt Hodgson  
Councillor Adam Nell  
Councillor Sean Woodcock

## **AGENDA**

### **1. Apologies for Absence and Notification of Substitute Members**

### **2. Declarations of Interest**

Members are asked to declare any interest and the nature of that interest which they may have in any of the items under consideration at this meeting.

### **3. Petitions and Requests to Address the Meeting**

The Chairman to report on any requests to submit petitions or to address the meeting.

### **4. Minutes (Pages 5 - 8)**

To confirm as a correct record the Minutes of the meeting of the Committee held on 22 September 2021.

### **5. Chairman's Announcements**

To receive communications from the Chairman.

## **6. Urgent Business**

The Chairman to advise whether they have agreed to any item of urgent business being admitted to the agenda.

## **7. Monthly Performance, Risk and Finance Monitoring Report (Pages 9 - 46)**

Report of Director of Finance and Head of Insight and Corporate Programmes

### **Purpose of report**

This report summarises the Council's Performance, Risk and Finance monitoring positions as at the end of September 2021/Quarter 2. The Committee will focus on the risk elements of the report.

### **Recommendations**

The meeting is recommended:

- 1.1 To note the monthly Performance, Risk and Finance Monitoring Report

## **8. Update on Counter Fraud Annual Plan 2021/22 (Pages 47 - 54)**

Report of Director of Finance

### **Purpose of report**

This report presents a summary of activity against the Annual Plan for the Counter-Fraud service at CDC for 2021/22, which was previously presented to the Accounts, Audit & Risk July 2021 committee. The Plan supports the Council's Anti-Fraud and Corruption Strategy by ensuring that the Council has in place proportionate and effective resources and controls to prevent and detect fraud as well as investigate those matters that do arise.

### **Recommendations**

The meeting is recommended to:

- 1.1 Comment and note the summary of activity against the Annual Counter Fraud Plan for 2021/22.

## **9. Treasury Management Report - Q2 (September 2021) (Pages 55 - 62)**

Report of the Director of Finance

### **Purpose of report**

To receive information on treasury management performance and compliance with treasury management policy and Prudential Indicators for 2021-22 as required by the Treasury Management Code of Practice.

### **Recommendations**

The meeting is recommended:

- 1.1 To note the contents of the Q2 (September 2021) Treasury Management Report.

## 10. **Appointment of External Auditors** (Pages 63 - 68)

Report of Director of Finance

### **Purpose of report**

This report asks the Accounts Audit and Risk Committee to make a recommendation to Council for how external auditors will be appointed from the financial year 2023/24.

### **Recommendations**

The meeting is recommended to:

- 1.1 recommend to Council to allow Public Sector Audit Appointments (PSAA) to appoint external auditors on behalf of the Council.

## 11. **Work Programme** (Pages 69 - 70)

To consider and review the Work Programme.

**Councillors are requested to collect any post from their pigeon hole in the Members Room at the end of the meeting.**

## **Information about this Meeting**

### **Apologies for Absence**

Apologies for absence should be notified to [democracy@cherwell-dc.gov.uk](mailto:democracy@cherwell-dc.gov.uk) or 01295 221554 prior to the start of the meeting.

### **Declarations of Interest**

Members are asked to declare interests at item 2 on the agenda or if arriving after the start of the meeting, at the start of the relevant agenda item.

### **Local Government and Finance Act 1992 – Budget Setting, Contracts & Supplementary Estimates**

Members are reminded that any member who is two months in arrears with Council Tax must declare the fact and may speak but not vote on any decision which involves budget setting, extending or agreeing contracts or incurring expenditure not provided for in the agreed budget for a given year and could affect calculations on the level of Council Tax.

### **Evacuation Procedure**

When the continuous alarm sounds you must evacuate the building by the nearest

available fire exit. Members and visitors should proceed to the car park as directed by Democratic Services staff and await further instructions.

### **Access to Meetings**

If you have any special requirements (such as a large print version of these papers or special access facilities) please contact the officer named below, giving as much notice as possible before the meeting.

### **Mobile Phones**

Please ensure that any device is switched to silent operation or switched off.

### **Queries Regarding this Agenda**

Please contact Sharon Hickson, Democratic and Elections [democracy@cherwell-dc.gov.uk](mailto:democracy@cherwell-dc.gov.uk), 01295 221554

**Yvonne Rees**  
**Chief Executive**

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