

		STRATEGIC RISKS					
	Description	Owner	Gross	Gross Net	Net	Control Measures as at 30/04/2010	Comment
[-] RS - STRATEGIC RISK REGISTER		Exec... Chief	High Me... 15	High Me... 10	High Me... 10	➡	
	The risk in not breaking the cycle of deprivation and addressing inequalities across the District is that the life opportunities of residents in the greatest need will not be improved and as a result the reputation of the Council will suffer. The risk is particularly acute in areas such as the Neithrop, Ruscote and Grimsbury wards in Banbury where there is a high level of deprivation as measured by the Government's indices of multiple deprivation.						
[+] RS01 Deprivation & Equalities		Davies, Ian	High Me... 12	High Me... 12	Me... 9	➡	Risk reviewed. No change.
	The risks are that national and local policy support and resources will be inadequate to support the development of the Eco Bicester Town. As a result the Council may fail to fully exploit the Eco Bicester Town as an opportunity to develop a centre of excellence in terms of sustainable living.						
[+] RS02 Eco Bicester Town		Hoad, John	High 16	High 16	Me... 8	➡	a) Planning policy development through Local Development Framework. b) Eco Bicester Town Project plan and related partnership working with private and public sector partners c) Dedicated Project Team
	The risks are that the Local Development Framework is not prepared adequately, in time, or is found unsound at public examination. Such outcomes would result in further risks arising from speculative planning applications, undesirable major developments and / or expense for the Council in contesting planning appeals. An unsound plan would mean that the Council would have to repeat 2 to 3 years work at high cost.						No change in risk or control measures
[+] RS03 Local Development Framework		Clarke, Philip	High 20	High 20	High Me... 15	➡	
	The risk is that the Council does not identify and						
						Control measures remain the same a) Service and financial planning process	No change in risk or control measures






<p>⊕ <b>RS04</b> Economic &amp; Social Changes</p>	<p>respond to general economic and social changes and as a result would not fulfil its role as a community leader and a provider of top quality services driven by a clear understanding of community and individual needs.</p>	<p>Hoad, John</p>		<p>High 16</p>		<p>High Me... 12</p>		<p>b) Sustainable Community Strategy c) Economic Development Strategy and related partnership activities</p>
<p>⊕ <b>RS05</b> Horton Hospital</p>	<p>The risks to maintaining the Horton Hospital as a facility that meets community aspirations for local health provision are the deliverability and affordability of a revised consultant delivered service model for paediatrics and obstetrics. Failure of either will jeopardise current service provision and could result in a service reduction from the Horton.</p>	<p>Davies, Ian</p>		<p>High 16</p>		<p>Me... 9</p>		<p>a) Support to the PCT in challenging ORHT proposals b) Providing evidence of deliverability of consultant delivered services elsewhere c) Gaining consensus locally that this is important</p>
<p>⊕ <b>RS06</b> The Natural Environment</p>	<p>The risk is that the Council does not take the necessary actions to meet its obligation, as set by National Government, to ensure its own operations and that of its District's residents and businesses reduce their carbon footprints.</p>	<p>Potter, Ed</p>		<p>High Me... 15</p>		<p>Me... 9</p>		<p>a) Environmental Strategy for a changing climate b) Responsibility for delivery plans for the Environmental Strategy clear c) Relevant delivery groups</p>
<p>⊕ <b>RS07</b> Managing Change</p>	<p>The risk is that the Council does not adequately manage the impact of major change programmes on organisational performance and individual morale.</p>	<p>Scott, Anne-Marie</p>		<p>High 16</p>		<p>High 16</p>		<p>a) Agreed change policies in place and being followed b) Trade Union time allocated for project support c) Consultation and communication plans in place</p>
<p>⊕ <b>RS08</b> Financial Resources</p>	<p>The risk is that in an uncertain economic and financial climate the Council will not have the resources to deliver its corporate priorities. Poor economic conditions also tend to produce increased demand on services. As the Council's income from capital reduces our dependency on interest to support revenue expenditure must also reduce and capital assets will need to be rebuilt to fund future infrastructure investments.</p>	<p>Curtin, Karen</p>		<p>High 16</p>		<p>High Me... 12</p>		<p>Whilst we don't under estimate the challenges presented as a result of the economic downturn, the council's financial position remains strong ensuring we are able to continue to meet our priorities in the future. Our rolling programme of VFM reviews has generated cost reductions of £3.7m over the last 3 years, proving our ability to deliver identified savings in full over extended periods of time. Support costs and frontline services alike have been subject to VFM reviews. We have reduced support costs by £1m over the last 3 years, a reduction of 18% in real terms.</p> <p>a) Budget 2010/11</p>










Risk reviewed. No change proposals

**Budget Monitoring**  
Continued review - meeting held with Fund Managers and Advosors to discuss performance

<p>Failure to do either will result in budgetary shortfall, service reductions, above inflation increases to council tax and lack of capital to fund future community schemes.</p>						<p>b) Medium financial strategy and sensitivity analysis c) Workforce planning d) Dashboard - budget monitoring</p>		
CORPORATE RISKS								
	Description	Owner	Gross	Gross Net	Net	Net	Control Measures as at 30/04./2010	Comment
RC - CORPORATE RISK REGISTER		Exec... Chief		High Me... 15		Me... 9		
RC01 Health & Safety	The risk is that a failure to comply with health and safety and welfare legislation and policies could lead to injuries and death, high sickness absence and claims and litigation against the Council.	Marri... David		High 20		High Me... 10		<p>a) Wide range of Health &amp; Safety policies and procedures in place as set out on the Council's intranet b) Training is given to all relevant staff undertaking manual work c) Relevant safe working practice notes are issued as part of standard induction procedures</p> <p>a) Wide range of Health &amp; Safety policies and procedures in place as set out on the Council's intranet b) Training is given to all relevant staff undertaking manual work c) Relevant safe working practice notes are issued as part of standard induction procedures</p>
RC02 Capital Investments	The risk is to the Council's ability to fund its activities because of a reduction in investment income or income from other capital assets such as buildings.	Curtin, Karen		High 16		High Me... 12		<p>a) Utilisation of treasury management advisors to assist with investing in strong rated institutions. b) We adhere to an annual investment strategy that complies with CIPFA code. c) All rental income from buildings is monitored monthly and managed by the Head of Estates to minimise the number of "empty" properties. In this economic climate we will review our occupancy rates. d) Budget 2010/11 e) Medium term financial strategy f) Asset Management Strategy g) Dashboard - budget monitoring h) Annual Treasury Management Strategy i) Counterparty Lists</p> <p><b>Budget Monitoring</b> Q2 Report to Exec detailing income variances - car parking is current risk. Investment income on track despite low base rate</p>
RC03 ICT Systems	1) ICT unable to provide Disaster Recovery Services as required by the Business Continuity Plan. 2) Loss of ICT systems that would have a significant negative impact on service delivery and cause exceptional costs to the Council.	Simp... Pat		High 20		Me... 8		<p>1a) Disaster Recovery Plan to be tested by system administrators on a 6 monthly schedule. 2a) Architectural approach of systems and implementation of key projects is quality assured by external auditors; 2b) Annual compliance with ISO 27001 through annual testing of operation procedures by external auditors</p> <p>re-assessment after changes to thorpe Lane</p>
RC04 Equalities Legislation	The risk is the Council may be open to litigation and loss of reputation if it is not compliant with equalities	Taylor, Claire		High Me... 15		Me... 9		<p>a) Equalities scheme b) Mandatory Equalities Training c) Equalities performance monitored through d) PMF e) IDEA Peer assessment planned for 2010</p> <p>risk reviewed ct 11/11/2010</p>

<p>RC05 Job Evaluation</p>	<p>legislation. The risk is the impact of a significant number of appeals arising from the Job Evaluation scheme on the resources of the Council and Human Resources in particular. Also there is a risk that Job Evaluation may encourage staff to pursue equal pay claims due to greater awareness.</p>	<p>Scott, Anne-Marie</p>		<p>High Me... 12</p>		<p>Me... 6</p>		<p>f) Equalities steering group and communications plan 1) Consultation with employee representatives 2) Equal pay audit 3) Job Evaluation project 4) Removal of Company Car Scheme</p>	<p>Risk Reviewed : no change to to controls required</p>
<p>RC06 Civil Emergency</p>	<p>Civil Emergency</p>	<p>Mars... - West... Paul</p>		<p>High Me... 15</p>		<p>High Me... 10</p>		<p>As a Category 1 Responder under the Civil Contingencies Act 2004, the Council has a duty to prepare and maintain an Emergency Plan which is updated on a regular basis. Furthermore, the plan has an annual testing and exercising schedule. Table top exercises are carried out annually to test the robustness of the plan and for plan familiarisation. Training is also provided as necessary to relevant staff to enable them to undertake effectively their emergency planning duties/role.</p>	<p>Gross risk remains high but mitigated by effective planning and training. EP Desk top training undertaken in October. Further updating of plans/details to be undertaken in December. BC Plan live test in next 6 months.</p>
<p>RC07 Data Quality</p>	<p>The risk is that unreliable data sources are used to support decision and policy making putting the Council at risk of making poor decisions. Decisions are made on the basis of information about the population and the nature of the district. If data is out of date, incomplete or inaccurate, those decisions may turn out to be inappropriate.</p>	<p>Taylor, Claire</p>		<p>High Me... 12</p>		<p>Me... 9</p>		<p>a) Single trusted data source available for all decision makers to access b) Use external trusted and reliable data source as the basis for our own information c) Internal audit programme for performance indicators d) Initiate a series of data quality health checks</p>	<p>Risk reviewed ct 11/11/10</p>

PARTNERSHIP RISKS									
	Description	Owner	Gross	Gross Net	Net	Control Measures as at 30/04/2010	Comment		
<p>RP - PARTNERSHIP RISK REGISTER</p>		<p>Exec... Chief</p>		<p>High Me... 10</p>		<p>Me... 8</p>			
<p>RP01 Local Area Agreement</p>	<p>The risk is the failure to deliver the Council's elements of the Local Area Agreement having a negative impact on service delivery to the public, the Council's reputation with other local</p>	<p>Taylor, Claire</p>		<p>High Me... 12</p>		<p>Me... 9</p>		<p>a) PMF b) High level of District engagement in LAA2 negotiation c) CDC coordination of all Oxon districts LAA performance management arrangements. d) LSP management group to monitor performance</p>	<p>risk reviewed ct 11/11/10 LAA requirement is no longer in effect. This risk is will be removed from the register at year end.</p>

<p>⊕ <b>RP02 Local Strategic Partnership</b></p>	<p>authorities and this being reflected in national inspection regimes. The risk is the failure of the Local Strategic Partnership to deliver its objectives having a negative impact on service delivery to the public, the Council's reputation with other local agencies and this being reflected in national inspection regimes.</p>	<p>Taylor, Claire</p>		<p>Me... 9</p>		<p>Me... 9</p>		<p>a) Partnership governance review implemented b) Management group to support implementation of LSP decisions c) Annual self assessment of performance</p>	<p>Risk reviewed ct 11/11/10</p>
<p>⊕ <b>RP03 Community Safety Partnership</b></p>	<p>Failure of the Community Safety Partnership to deliver a continuous reduction in crime and the fear of crime.</p>	<p>Roth...</p>		<p>High Me... 12</p>		<p>Me... 9</p>		<p>The four Action Groups produce annual action plans to deliver the priorities of the partnership. This is monitored bi-monthly by the partnership's performance management team (CDC head of service and TVP local commander) which reports into the quarterly strategic partnership meetings. Control Measures remain the same.</p>	<p>Role and Terms of Reference for Partnership to be redefined given new partnership framework around Local Enterprise Partnerships (LEPs)</p>
<p>⊕ <b>RP04 Spatial Planning &amp; Infrastructure Partnership</b></p>	<p>The risk is the failure of the Spatial Planning and Infrastructure Partnership to establish itself as an effective body locally and in relations with National Government. The consequences are reduced funding for the local area and failure to fully exploit development and infrastructure provision opportunities.</p>	<p>Hoad, John</p>		<p>High Me... 12</p>		<p>Me... 8</p>		<p>a) Partnership Work Programme / Forward Plan b) Resource provision for Partnership work (use of LAA Reward Grant)</p>	<p>Role and Terms of Reference for Partnership to be redefined given new partnership framework around Local Enterprise Partnerships (LEPs)</p>