

APPENDIX 1: 2022/22 CDC Internal Audit Plan – Progress Report

Audit	Planned Qtr Start	Status as at 31/12/21	Conclusion
Customers, OD & Resources – HR			
Well-being / Sickness Management	Q1	Fieldwork	
Customers, OD & Resources – HR / Finance			
Payroll	Q3/Q4	Planned for Q4	
Customers, OD & Resources – Finance			
Key Financial Systems	Q3/Q4	Planned for Q4	
Treasury Management	Q4	Planned for Q4	
Customers, OD & Resources – Finance / IT			
Payment Card Industry Data Security Standard (PCI-DSS)	Q1	Final Report	Red
Customers, OD & Resources – IT			
Cyber Security – Follow up	Q1	Final Report	Amber
IT Remote Working	Q1	Final Report	Amber
IT Infrastructure Management	Q4	Planned for Q4	
Customers, OD & Resources			
Revenues & Benefits	Q3	Audit deferred until Q1 of 2022/23 plan	-
CDAI – Information Governance			
GDPR	Q2	Final Report	Amber
Environment & Place			
Waste Collection	Q2	Fieldwork	
Various / Corporate / Cross Cutting			
Combined Audit & Counter Fraud Reviews	Q1-Q4	-	
Covid Funding / Payments	Q1-Q4	-	
Grants	Q1-Q4	-	